EXPRESS MAIL LABEL NO.: EV064375037US DATE: MAY 10, 2002

FORM PTO 1390 (REV 11-2000) ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SONYAK-181 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/049.434 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATES PRIORITY DATE CLAIMED PCT/JP01/05326 21 June 2001 21 June 2000 INFORMATION RECORDING AND/OR REPRODUCING METHOD AND INFORMATION TITLE OF INVENTION RECORDING AND/OR REPRODUCING DEVICE APPLICANT(S) FOR DO/EO/US Tomoyuki Asano, Yoshitomo Osawa, Ryuji Ishiguro, Atsushi Mitsuzawa, and Tateo Oishi Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371 2. х This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). c. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). h. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. 9. х An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Executed) An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. 15. A substitute specification. A change of power of attorney and/or address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: Copy of Notification Of Missing Requirements Under 35 U.S.C. 371 In The United 20. States Designated/Elected Office (DO/EO/US)

# Rec'd PCT/PTO 1 0 MAY 2002

	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/049,434		INTERNATIONAL APPLICATION NO. PCT/JP01/05326		ATTORNEY'S DOCKET NUMBER SONYAK-181				
	21. X The following fees are submitted:					CALCULATIONS PTO USE ONLY			
	BASIC NATIONAL FI	EE (37 CFR 1.492 (a)							
	Neither international nor international sea								
	and International Sea	.\$1040.00							
•	International prelimi USPTO but Internati								
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$740.00								
•	International prelimi but all claims did no	\$710.00							
	International prelimi and all claims satisfi								
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	I — ~ —		the oath or declaration		\$ 130.00				
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	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<u> </u>				
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•	Independent claims  MULTIPLE DEPENDE		cable)	<b>x</b>	\$				
	MODIN EB BBI BINDS		L OF ABOVE CALCI	L	\$	130.00			
	Applicant claims sr are reduced by ½.	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above							
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	Processing fee of \$	for furni	shing the English transla	tion later than	\$				
	20 30 month								
			TOTAL NATION		\$	130.00			
	Fee for recording the end must be accompanied by	nent +	\$						
	( per propo	erty).	TOTAL FEES E	NCLOSED -	\$	130.00			
05/16/2002 M	<u> </u>	10049434	TOTAL FEES E	INCLOSED =	) 	Amount to be	<b>!</b>		
01 FC::154	130.00 CH					Refunded:	\$		
						Charged:	\$		
	a. A check in the	amount of \$	to co	ver the above fees	is en	closed.			
		my Deposit Account N		in the amount	of S	130.00			
	to cover the above fees. A duplicate copy of this sheet is enclosed.								
	c. x The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. 12-1095 . A duplicate copy of this sheet is enclosed.								
	, a petition to reviv	⁄e							
•	(37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status								
	SEND ALL CORRESPONDENCE TO:								
	Robert B. Cohen	Robert B. Cohen SIGNATURE: LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP					Robert B. Cohen		
	600 South Avenue W		_	NAME					
	Westfield, New Jerse	y 07090				32,768			
	(908) 518-6316	REGISTRATION NUI							
			_						



#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	ATTY. DOCKET NO.		
10/049,434	Tomoyuki Asano	SONY JP-181			
	[	/ INTERNATIONAL AP	PLICATION NO.		
	7	PCT/JP01/05326			
	$\sim$	I.A. FILING DATE	PRIORITY DATE		
ert B Cohen	Sir Coll	06/21/2001	06/21/2000		

Robert B Cohen Lerner David Littenberg Krumholz & Mentlik 600 South Avenue West Westfield, NJ 07090

CONFIRMATION NO. 1147
371 FORMALITIES LETTER

\*OC000000007868724\*

Date Mailed: 04/16/2002

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

RECEIVED

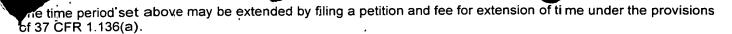
APR 2 2 2002

LDLK&M

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.



## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### **CHARITTA A BURT**

Telephone: (703) 305-3734

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.					
10/049,434	PCT/JP01/05326	SONY JP-181					

FORM PCT/DO/EO/905 (371 Formalities Notice)